

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/391,781	09/08/99	PALMER	G 99CR107/KE

0262/0928

ROCKWELL COLLINS INC  
INTELLECTUAL PROPERTY DEPARTMENT  
400 COLLINS ROAD NE M S 124 323  
CEDAR RAPIDS IA 52498

NOT ASSIGNED

2783

DATE MAILED:

09/28/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

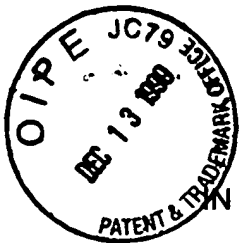
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12/15/1999 MABAWI 00000962 181722 09391781  
01FC:105 130.00 CH



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

) GROUP ART UNIT: 2783

George W. Palmer et al

) EXAMINER: N/A

SERIAL NO.: 09/391,781

) DOCKET REF.: 99CR107/KE

FILED: September 8, 1999

) SUBMITTED: December 6, 1999

FOR: "Method And Apparatus For Interactively Selecting, Controlling And Displaying Parameters For An Avionics Radio Tuning Unit"

RESPONSE TO NOTICE TO FILE  
MISSING PARTS FILING DATE GRANTED

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is the Declaration bearing the dates of November 8, 1999, and December 6, 1999, with a Serial Number 09/391,781.

The enclosed Declaration is being submitted to satisfy the Notice To File Missing Parts of Application document issued on this above-identified case. You are authorized to charge deposit account number 18-1722 in the amount of \$130.00 for the fee required.

Respectfully submitted,

Rockwell Collins, Inc.  
Intellectual Property Department  
400 Collins Road NE, M/S 124-323  
Cedar Rapids, IA 52498  
Telephone (319) 295-8280  
Facsimile No. (319) 295-8777

Kyle Eppele  
Kyle Eppele  
Attorney of Record  
Reg. No. 34,155

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on	
<u>December 6, 1999</u>	(Date of Deposit)
<u>Kyle Eppele</u> Name of applicant, assignee, or Registered Representative	
<u>Kyle Eppele</u> Signature	
<u>December 6, 1999</u>	Date of Signature